

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	4166096
<b>Application Number:</b>	10686462
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7085
<b>Title of Invention:</b>	Method and system for the protection of broker and investor relationships, accounts and transactions
<b>First Named Inventor/Applicant Name:</b>	Steven Kirk Donoho
<b>Customer Number:</b>	21269
<b>Filer:</b>	James M. Singer/Vicki LoPresti
<b>Filer Authorized By:</b>	James M. Singer
<b>Attorney Docket Number:</b>	124256.0401
<b>Receipt Date:</b>	23-OCT-2008
<b>Filing Date:</b>	15-OCT-2003
<b>Time Stamp:</b>	16:10:48
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$2350
RAM confirmation Number	1529
Deposit Account	
Authorized User	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Amendment/Req. Reconsideration-After Non-Final Reject	Response_to_OA_10_23_08. PDF	603252	no	21
			d0520b714823d7590b10e9b427297177 91723		

**Warnings:**

**Information:**

2	Fee Worksheet (PTO-06)	fee-info.pdf	30215	no	2
			f78b56bc5a2095ad1448818b2cf228a4c7 70349		

**Warnings:**

**Information:**

Total Files Size (in bytes):

633467

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.